

**Grindlinger, Glenn S.**

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**From:** Maimon Kirschenbaum <maimon@jk-llp.com>  
**Sent:** Friday, May 15, 2020 12:15 PM  
**To:** Grindlinger, Glenn S.  
**Cc:** Richmond, Carolyn D.  
**Subject:** [EXT] RE: Capsolas v. Pasta Resources

Glenn:

I appreciate the suggestion, but we'd like to stick with MRNY. Please let us know either way, and we will make an application on Monday if we can't reach an agreement. *See In re Citigroup Inc. Sec. Litig.*, 199 F Supp 3d 845, 851 [SDNY 2016]) ("in the absence of any evidence of an actual or apparent conflict of interest, class counsel is entitled to a certain amount of leeway. When *cy pres* designations are made — at the tail end of the litigation—class counsel has presumably already proved worthy of the court's trust. They have survived the crucible of the class counsel appointment inquiry by virtue of their experience, diligence, and fairness."); *See also Godson v Eltman, Eltman, & Cooper, P.C.*, 328 FRD 35, 59 [WDNY 2018]):

*See also, Godson v Eltman, Eltman, & Cooper, P.C.*, 328 FRD 35, 59 [WDNY 2018]) :

"[C]ourts should defer to the *cy pres* selection of plaintiffs and their counsel unless there is good cause not to defer." *Sewell*, 2013 U.S. Dist. LEXIS 47526, 2013 WL 1316015, at \*3; *see Plotz v. NYAT Maint. Corp.*, No. 98 CIV. 8860(RLE), 2006 U.S. Dist. LEXIS 4799, 2006 WL 298427, at \*2 (S.D.N.Y. Feb. 6, 2006) ("The distribution preference of class counsel should be entitled to deference when it is the only entity with a meaningful and equitable interest in [\*\*40] the remaining funds, especially where the designated recipient is a legitimate and appropriate organization."). Indeed, "Class Counsel is in the best position to determine the purpose of the lawsuit and to select organizations whose purposes are consistent with it." *Reyes*, 2010 U.S. Dist. LEXIS 62591, 2010 WL 2545859, at \*1; *see Stefaniak v. HSBC Bank USA, N.A.*, No. 05-CV-720S, 2011 U.S. Dist. LEXIS 144346, 2011 WL 7051093, at \*1 (W.D.N.Y. Dec. 15, 2011) ("A plaintiff's selection of a *cy pres* recipient is entitled to deference because only the plaintiffs and their counsel can determine the objectives of their own lawsuit [and] what is in the interest of the class they represent."). No such good cause warranting divergence from Class Counsel's selection is present here.

Thanks.

Maimon

D. Maimon Kirschenbaum  
Joseph & Kirschenbaum LLP  
32 Broadway  
Suite 601  
NYC, NY 10004  
Phone: (212) 688-5639  
Cell: (917) 841-3342  
Fax: (212) 688-2548

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**From:** Grindlinger, Glenn S. <GGrindlinger@foxrothschild.com>  
**Sent:** Friday, May 15, 2020 11:18 AM  
**To:** Maimon Kirschenbaum <maimon@jk-llp.com>  
**Cc:** Richmond, Carolyn D. <CRichmond@foxrothschild.com>  
**Subject:** RE: Capsolas v. Pasta Resources

Maimon:

Thank you for the clarification on the cy pres designee. We would suggest two alternatives:

- Bring Back Brooklyn or
- Restaurant Employee Relief Fund

We believe that these two charities would benefit more class directly rather than Make The Road. In light of the pandemic, we believe that the designee should be focused on assisting hospitality industry employees as the hospitality industry has been hit especially hard. These two charities do exactly that, the first one by helping reestablish restaurants and similar establishments in Brooklyn and the second one with providing direct relief to restaurant workers.

Please let us know if either designee is acceptable to you.

Thanks,

**Glenn Grindlinger**  
Partner  
**Fox Rothschild LLP**  
101 Park Avenue  
New York, NY 10178  
(212) 905-2305 - direct  
(212) 692-0940- fax  
[GGrindlinger@foxrothschild.com](mailto:GGrindlinger@foxrothschild.com)  
[www.foxrothschild.com](http://www.foxrothschild.com)

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<https://www.foxrothschild.com/coronavirus-resources/>

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**From:** Maimon Kirschenbaum <[maimon@jk-llp.com](mailto:maimon@jk-llp.com)>  
**Sent:** Thursday, May 14, 2020 4:48 PM  
**To:** Grindlinger, Glenn S. <[GGrindlinger@foxrothschild.com](mailto:GGrindlinger@foxrothschild.com)>  
**Cc:** Richmond, Carolyn D. <[CRichmond@foxrothschild.com](mailto:CRichmond@foxrothschild.com)>  
**Subject:** [EXT] RE: Capsolas v. Pasta Resources

Defendants did not agree to it. There was an agreement to cy pres, not the designee. We'd like to give it MRNY, as it fits closest with the cy pres requirement, see:

([Reyes v Buddha-Bar NYC](#), 2010 US Dist LEXIS 62591, at \*2-3 [SDNY June 22, 2010])

NELP and Make the Road are appropriate *cy pres* designees in a wage and hour class action. Under the *cy pres* doctrine, the best application of unused settlement funds is to donate them to an organization whose purpose is closely related to the purpose of the lawsuit. *See Superior Beverage Co. v. Owens-Illinois*, 827 F. Supp. 477, 479 (N.D. Ill. 1993) (although other applications are permitted, "... use of funds for purposes [\*3] closely related to their origin is still the best *cy pres* application"). A *cy pres* remedy allows a fund to be diverted to a related purpose when it can no longer be devoted to the purpose for which it was created. *See Reade-Alvarez v. Eltman, Eltman, & Cooper, P.C.*, No. CV-04-2195, 2006 U.S. Dist. LEXIS 89226, \*22 n.7 (E.D.N.Y. Dec. 11, 2006) (citing *Mirfasihi v. Fleet Mortgage Corp.*, 356 F.3d 781, 784 (7th Cir. 2004)).

D. Maimon Kirschenbaum  
Joseph & Kirschenbaum LLP  
32 Broadway  
Suite 601  
NYC, NY 10004  
Phone: (212) 688-5639  
Cell: (917) 841-3342  
Fax: (212) 688-2548

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**From:** Grindlinger, Glenn S. <[GGrindlinger@foxrothschild.com](mailto:GGrindlinger@foxrothschild.com)>  
**Sent:** Thursday, May 14, 2020 4:44 PM  
**To:** Maimon Kirschenbaum <[maimon@jk-llp.com](mailto:maimon@jk-llp.com)>  
**Cc:** Richmond, Carolyn D. <[CRichmond@foxrothschild.com](mailto:CRichmond@foxrothschild.com)>  
**Subject:** FW: Capsolas v. Pasta Resources

Maimon:

Assuming Defendants do not have to pay anything further and that all payments, including any purported payroll is paid from the remaining funds, we do not have any objection to reissuing checks to the two individuals identified below.

Regarding the *cy pres* designee, when did the parties agree that it would be Make The Road? If you have an email or other document memorializing that agreement, please forward it to me.

Thanks,

**Glenn Grindlinger**  
Partner  
**Fox Rothschild LLP**  
101 Park Avenue

New York, NY 10178  
(212) 905-2305 - direct  
(212) 692-0940- fax  
[GGrindlinger@foxrothschild.com](mailto:GGrindlinger@foxrothschild.com)  
[www.foxrothschild.com](http://www.foxrothschild.com)

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**From:** Richmond, Carolyn D. <[CRichmond@foxrothschild.com](mailto:CRichmond@foxrothschild.com)>  
**Sent:** Thursday, May 14, 2020 1:14 PM  
**To:** Grindlinger, Glenn S. <[GGrindlinger@foxrothschild.com](mailto:GGrindlinger@foxrothschild.com)>  
**Subject:** FW: Capsolas v. Pasta Resources

**Carolyn D. Richmond**  
**Fox Rothschild LLP**  
**Chair, Hospitality Practice Group**  
101 Park Avenue  
17th Floor  
New York, NY 10178  
212.878.7983 - direct  
212.692.0940- fax  
**Bio:** <http://www.foxrothschild.com/carolyn-d-richmond/>  
[CRichmond@foxrothschild.com](mailto:CRichmond@foxrothschild.com)  
[www.foxrothschild.com](http://www.foxrothschild.com)

Please note the following information for Employers regarding Coronavirus:  
<https://www.foxrothschild.com/coronavirus-resources/>

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**From:** Maimon Kirschenbaum <[maimon@jk-llp.com](mailto:maimon@jk-llp.com)>  
**Sent:** Thursday, May 14, 2020 1:09 PM  
**To:** Richmond, Carolyn D. <[CRichmond@foxrothschild.com](mailto:CRichmond@foxrothschild.com)>  
**Subject:** [EXT] FW: Capsolas v. Pasta Resources

Carolyn:

See below. There's about \$49k. We'd like to issue two checks to the two individuals identified below and give the remainder to Make the Road NY. Please confirm it's ok with y'all.

DMK

D. Maimon Kirschenbaum  
Joseph & Kirschenbaum LLP  
32 Broadway  
Suite 601  
NYC, NY 10004

Phone: (212) 688-5639  
Cell: (917) 841-3342  
Fax: (212) 688-2548

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**From:** Shethji, Pooja <[PShethji@outtengolden.com](mailto:PShethji@outtengolden.com)>  
**Sent:** Thursday, May 14, 2020 10:52 AM  
**To:** Maimon Kirschenbaum <[maimon@jk-llp.com](mailto:maimon@jk-llp.com)>; Denise Schulman <[Denise@jk-llp.com](mailto:Denise@jk-llp.com)>  
**Cc:** Swartz, Justin M. <[jms@outtengolden.com](mailto:jms@outtengolden.com)>  
**Subject:** FW: Capsolas v. Pasta Resources

Hi Maimon, Denise,

Following up on our call just now, we'd like to first have initial checks reissued for the two class members who alerted us to this (Bergaz and Florero) before the remainder is distributed to MRNY. Those details and the uncashed amount in the fund are below.

Thanks!  
Pooja

---

**From:** Bernie Osterlund <[bernie.osterlund@kccllc.com](mailto:bernie.osterlund@kccllc.com)>  
**Sent:** Monday, April 20, 2020 12:41 PM  
**To:** Shethji, Pooja <[PShethji@outtengolden.com](mailto:PShethji@outtengolden.com)>  
**Cc:** Jeff Moore <[jmoore@kccllc.com](mailto:jmoore@kccllc.com)>; Patrick Passarella <[ppassarella@kccllc.com](mailto:ppassarella@kccllc.com)>; Tricia Solorzano <[Tricia.Solorzano@kccllc.com](mailto:Tricia.Solorzano@kccllc.com)>; Swartz, Justin M. <[JMS@outtengolden.com](mailto:JMS@outtengolden.com)>  
**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Pooja,

There remain 3 of the 7 checks that have not yet been cashed. All told, there are 472 uncashed checks totaling \$49,107.

Mr. Bergaz was issued a check in 2012. Since he did not cash that check, he wasn't eligible for a second distribution. His initial check was sent to an address in New York City.

If you want to issue new checks to both Bergaz and Florero, let me know. The cost would remain at \$1700.

Bernie

---

**From:** Shethji, Pooja [<mailto:PShethji@outtengolden.com>]  
**Sent:** Monday, April 20, 2020 9:29 AM  
**To:** Bernie Osterlund

**Cc:** Jeff Moore; Patrick Passarella; Tricia Solorzano; Swartz, Justin M.

**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Hi Bernie,

Apologies for not getting back to you earlier. We have two questions first.

1. Would you please let us know whether the 7 uncashed checks you mentioned previously expired and what the total amount in the account is now?
2. I recently heard from Juan Bergaz who moved to Florida in 2008 and may be in the same position as Palemon Florero. Would you please check your records about Mr. Bergaz? If he is eligible to have his check reissued, too, would that change the \$1700 in costs you cited earlier?

I'm copying Justin here, as well.

Thanks,  
Pooja

---

**From:** Bernie Osterlund <[bernie.osterlund@kccllc.com](mailto:bernie.osterlund@kccllc.com)>

**Sent:** Monday, April 20, 2020 12:21 PM

**To:** Shethji, Pooja <[PSethji@outtengolden.com](mailto:PSethji@outtengolden.com)>; Yu, Stephanie <[syu@outtengolden.com](mailto:syu@outtengolden.com)>

**Cc:** Jeff Moore <[jmoore@kccllc.com](mailto:jmoore@kccllc.com)>; Patrick Passarella <[ppassarella@kccllc.com](mailto:ppassarella@kccllc.com)>; Tricia Solorzano <[Tricia.Solorzano@kccllc.com](mailto:Tricia.Solorzano@kccllc.com)>

**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Since I have not heard back from you on the below, should I assume that you no longer wish for his check to be re-issued?

Bernie

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**From:** Bernie Osterlund

**Sent:** Monday, March 23, 2020 10:22 AM

**To:** 'Shethji, Pooja'; 'Yu, Stephanie'

**Cc:** Jeff Moore; Patrick Passarella; Tricia Solorzano

**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Hi. I am following up on the below as I have not heard back from you. Did you want us to proceed with sending Mr. Florero a new check? If so, do you approve to have KCC pay itself \$1700 from uncashed funds?

Please advise.

*Bernie*

Bernella (Bernie) Osterlund  
Director  
KCC Class Action Services, LLC  
P: 415-458-3678  
M: 415-233-3631  
[www.kccllc.com](http://www.kccllc.com)

---

**From:** Bernie Osterlund  
**Sent:** Friday, February 28, 2020 9:31 AM  
**To:** 'Shethji, Pooja'; 'Yu, Stephanie'  
**Cc:** Jeff Moore; Patrick Passarella; Tricia Solorzano  
**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Pooja,

Mr. Florero's settlement award was \$444.54. After taxes, his net check was \$355.05.

To re-distribute his check would cost \$1,700. The annual tax filing accounts for the majority of these costs.

Let me know if you are ok with these costs, and that KCC could be paid out of uncashed funds. If so, I'll go ahead and talk to class counsel.

*Bernie*

Bernella (Bernie) Osterlund  
Director  
KCC Class Action Services, LLC  
P: 415-458-3678  
M: 415-233-3631  
[www.kccllc.com](http://www.kccllc.com)

---

**From:** Bernie Osterlund  
**Sent:** Friday, February 21, 2020 2:25 PM  
**To:** 'Shethji, Pooja'; Yu, Stephanie  
**Cc:** Jeff Moore; Patrick Passarella; Tricia Solorzano  
**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Pooja,

There are over 400 uncashed checks. All but 7 of them are past their stale date. Those 7 checks go stale on April 14<sup>th</sup> if not cashed. So there will be money remaining in the QSF.

The Settlement Agreement allowed KCC to deduct their costs for the re-distribution from remaining funds. If you and class counsel are willing to allow this again, we could run a one-off distribution for Mr. Florero. Before broaching this with class counsel, I'll get a cost estimate for running a one-off distribution so you know how much we're talking about.

Bernie

---

**From:** Shethji, Pooja [<mailto:PSethji@outtengolden.com>]  
**Sent:** Friday, February 21, 2020 1:41 PM  
**To:** Bernie Osterlund; Yu, Stephanie  
**Cc:** Jeff Moore; Patrick Passarella; Tricia Solorzano  
**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Hi Bernie,

I understand that Mr. Florero left New York in 2010 and returned last year. Can his check not be reissued if he did not cash the 2012 check?

Thanks,  
Pooja



**Pooja Shethji | Associate**

601 Massachusetts Ave NW, Suite 200W | Washington, DC 20001

T 202-847-4400 | F 646-509-2012

[PShethji@outtengolden.com](mailto:PShethji@outtengolden.com) | [Bio](#)



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**From:** Bernie Osterlund <[bernie.osterlund@kccllc.com](mailto:bernie.osterlund@kccllc.com)>

**Sent:** Friday, February 21, 2020 4:37 PM

**To:** Yu, Stephanie <[syu@outtengolden.com](mailto:syu@outtengolden.com)>

**Cc:** Jeff Moore <[jmoore@kccllc.com](mailto:jmoore@kccllc.com)>; Patrick Passarella <[ppassarella@kccllc.com](mailto:ppassarella@kccllc.com)>; Tricia Solorzano <[Tricia.Solorzano@kccllc.com](mailto:Tricia.Solorzano@kccllc.com)>; Shethji, Pooja <[PShethji@outtengolden.com](mailto:PShethji@outtengolden.com)>

**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Stephanie,

In looking closer at this matter, class members who cashed their initial check from 2012 were issued a 2<sup>nd</sup> check last year. Unfortunately, Mr. Florero did not cash his check from 2012.

His 2012 check was mailed to the address you show below. Only we have the city as Richmond Hill, NY. I googled the zip code and it confirmed that the city associated with that zip code is Richmond Hill (which is in Queens County).

*Bernie*

Bernella (Bernie) Osterlund  
Director  
KCC Class Action Services, LLC  
P: 415-458-3678  
M: 415-233-3631  
[www.kccllc.com](http://www.kccllc.com)

---

**From:** Yu, Stephanie [<mailto:syu@outtengolden.com>]

**Sent:** Friday, February 21, 2020 1:13 PM

**To:** Bernie Osterlund

**Cc:** Jeff Moore; Patrick Passarella; Tricia Solorzano; Shethji, Pooja

**Subject:** RE: Capsolas v. Pasta Resources - Check Reissued for Palemon Florero [IWOV-OGDMS.FID58519]

Thank you for taking the time to talk to me, Bernie!



The class member who is looking to get his check reissued is Palemon Florero. His address is:

116-11 JAMAICA AVE,  
QUEENS NY 11418.

Please let me know if you encounter any issues.

I'm cc'ing Pooja Shethji, the attorney, so she is aware.

Best,  
Stephanie



**Stephanie Yu | Litigation Operations Coordinator**

685 Third Ave 25th Floor | New York, NY 10017

T 212-245-1000 | F 646-509-2060

[syu@outtengolden.com](mailto:syu@outtengolden.com)



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**From:** Bernie Osterlund <[bernie.osterlund@kccllc.com](mailto:bernie.osterlund@kccllc.com)>

**Sent:** Friday, February 21, 2020 4:07 PM

**To:** Yu, Stephanie <[syu@outtengolden.com](mailto:syu@outtengolden.com)>

**Cc:** Jeff Moore <[jmoore@kccllc.com](mailto:jmoore@kccllc.com)>; Patrick Passarella <[ppassarella@kccllc.com](mailto:ppassarella@kccllc.com)>; Tricia Solorzano <[Tricia.Solorzano@kccllc.com](mailto:Tricia.Solorzano@kccllc.com)>

**Subject:** Capsolas v. Pasta Resources

Stephanie,

It was nice talking to you today. Please send me the name of the class member who wants their check re-issued. I'll check their records to make sure his/her check is eligible to be re-issued and status you back.

I'll have an accounting of the fund prepared and send to you early next week.

Please feel free to reach out to me at any time.

*Bernie*

Bernella (Bernie) Osterlund  
Director  
KCC Class Action Services, LLC  
P: 415-458-3678

M: 415-233-3631  
[www.kccllc.com](http://www.kccllc.com)

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